

**SCHERING-PLOUGH CORPORATION**  
LAW DEPARTMENT  
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KENILWORTH, NEW JERSEY 07033  
(908) 298-4000

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| FROM:                              | PHONE NUMBER:  |
| Palaiyur S. Kalyanaraman           | (908) 298-5068 |
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| 9                                  | May 14, 2004   |

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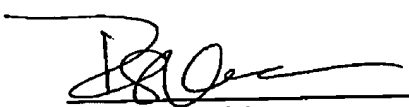
NOTES/COMMENTS:

**PLEASE HAND DELIVER**

In re Application of: Timothy J. Guzi *et al.*  
For Patent entitled: "Novel Pyrazolopyrimidines as Cyclin Dependent Kinase Inhibitors"  
Group Art Unit: 1614  
Filed: 09/03/2003  
Attorney Docket No.: OC01617K  
Serial No.: 10/654,546

Transmitted herewith are:

- Certificate of Transmission under 37 CFR 1.8 – 1pg.
- Fax Cover Sheet – 1pg.
- Second Letter for Correction of Filing Receipt– 2pgs.
- Copy of Filing Receipt with Corrections – 2pgs.
- Copy of Multiple Dependent Claim Fee Calculation Sheet – 1pg.
- Copy of Post Card – 2pgs.

  
Palaiyur S. Kalyanaraman  
Registered Representative  
Registration No. 34,634

PHONE: (908) 298-5068

FAX: (908) 298-5388

Attorney Docket No.: OC01617K  
Application No.: 10/654,546  
Filing Date: 09/03/2004  
First Named Inventor: Timothy J. Guzi

PTO/SB/97 (08-03)  
Approved for use through 07/31/2006. OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## Certificate of Transmission under 37 CFR 1.8

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This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

PATENT CASE NO. OC01617K

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-----X  
In re Application of: :  
: Examiner: Dr. T. C. McKenzie  
**Timothy J. Guzi et al.** :  
: Group Art Unit: 1614  
Serial No.: **10/654,546** :  
: Date: May 14, 2004  
Filing date: **09/03/2003** :  
: :  
For: **"Novel Pyrazolopyrimidines as** :  
: **Cyclin Dependent Kinase Inhibitors"** :  
-----X

**Attn: Office of the Initial Patent Examination's Filing Receipt Corrections**  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Fax: 703-746-9195

**Second Letter For Correction of Filing Receipt**

Sir:

This communication is in reply to the "Response to Request For Corrected Filing Receipt" that the Office mailed on May 3, 2004, in this case. The Response from the Office states that Applicant's request of April 8, 2004 for a corrected Filing Receipt will not be complied with because "[t]he number of claims reflected on the filing receipt is correct. Upon a review of the claims, it was found that there was a miscalculation by the applicant. This may be due to improperly presented multiple dependent claims, typographical error, **misnumbering of claims**, or other oversight... ." Applicant respectfully disagrees with the conclusion by the Office and insists that the filing receipt mailed on December 1, 2003, is indeed defective.

Applicant reviewed the claims repeatedly and did not find any misnumbering of claims or other such problem. The numbering of claims, and their dependency are correct. A copy of Applicant's "Multiple Dependent Claim Fee Calculation Sheet" is attached as well as a copy of the original post card returned from the USPTO. As noted therein, there are 5 independent claims (claims 1, 27, 28, 29 and 30) and 37 dependent claims, making a total

- 2 -

of 42 claims. Appropriate correction of the filing receipt is requested. A copy of the filing receipt with the corrections marked thereon is also attached.

If there are any questions, the Office is invited to contact the undersigned.

May 14, 2004  
Schering-Plough Corporation  
Patent Department, K-6-1, 1990  
2000 Galloping Hill Road  
Kenilworth, New Jersey 07033-0530  
Tel.: (908) 298-5068  
Fax: (908) 298-5388

Respectfully submitted,



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Dr. Palaiyur S. Kalyanaraman  
Attorney/Agent for Applicant(s)  
Registration No.: 34,634



## UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPL NO.   | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/654,546 | 09/03/2003                | 1614     | 1212          | OC01617K        |          | 41<br>42 | 5        |

24265

SCHERING-PLOUGH CORPORATION  
PATENT DEPARTMENT (K-6-1, 1990)  
2000 GALLOPING HILL ROAD  
KENILWORTH, NJ 07033-0530

CONFIRMATION NO. 3990

## FILING RECEIPT

\*OC000000011340186\*

Date Mailed: 12/01/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Timothy J. Guzi, Chatham, NJ;  
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Haengsoon Park, Plainsboro, NJ;  
Vidyadhar M. Paradkar, Somerville, NJ;  
Douglas Walsh Hobbs, Yardley, PA;

**PATENT DEPARTMENT  
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## Assignment For Published Patent Application

Schering Corporation;  
Pharmacoepia, Inc.;

## Domestic Priority data as claimed by applicant

This appln claims benefit of 60/408,027 09/04/2002 ✓  
and claims benefit of 60/421,959 10/29/2002 ✓

**Foreign Applications**

If Required, Foreign Filing License Granted: 11/21/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Novel pyrazolopyrimidines as cyclin dependent kinase inhibitors

**Preliminary Class**

514

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**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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## RECEIVED IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Timothy J. Guzi et al.  
Serial No.: To Be Assigned Atty: PSK/ ama  
Filed: 09/03/2003 Case No: OC01617K  
For: NOVEL PYRAZOLOPYRIMIDINES AS CYCLIN...  
Enclosed:

## Application papers comprising:

- ☐ Transmittal for Filing Provisional Appln. (PTO/SB/16)  
☒ Transmittal for Filing Utility Patent Appln. (PTO/SB/05)  
☐ Transmittal for Filing Patent Appln. (PTO/SB/18)

568 Pgs. of Specification  
35 Pgs. of Claims / No. of Claims 42  
1 Pgs. of Abstract  
     Pgs. of Drawing (Formal/Informal)  
5 Pgs. of Application Data Sheet  
2 Pgs. of S-P Patent Application Cover Sheet  
8 Pgs. Declaration/Power of Attorney  
     (~~Exec.~~/Unexec./~~Copy~~)  
     Pgs. of Preliminary Amendment  
     Pgs. of Sequence Listing  
     Pgs. of Sequence Listing Statement  
     ☐ Sequence Listing Diskette  
     Pgs. of Information Disclosure Statement  
     Pgs. of Form PTO 1449 (No. of Documents     )

☒ Fee Transmittal (PTO/SB/17) In Duplicate

☒ Charge to Deposit Account No. 19-0365

in the amount of \$ 1,314.00

Filing Fee= \$750

Claims Fee= \$564

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☒ Post Card

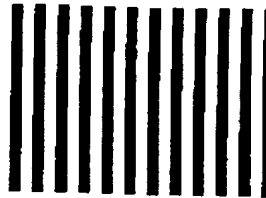
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KENILWORTH NJ 07033-9712

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09/03/03

